

Search for axioelectric effect of solar axions using BGO scintillating bolometer

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A search for axioelectric absorption of solar axions produced in the $p + d \rightarrow {}^3\text{He} + \gamma$ (5.5 MeV) reaction has been performed with a BGO detector placed in a low-background setup. A model-independent limit on the combination of axion-nucleon and axion-electron coupling constants has been obtained: $|g_{Ae} \times g_{AN}^3| < 1.9 \times 10^{-10}$ for 90% confidence level. The constraint of the axion-electron coupling constant has been obtained for hadronic axion with masses of (0.1 - 1) MeV: $|g_{Ae}| \leq (0.96 - 8.2) \times 10^{-8}$.

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I. INTRODUCTION

In 1977 Peccei and Quinn suggested a new approach for the solution of the strong CP problem [1]. They introduced a new global chiral symmetry $U(1)$ which was spontaneously violated at some energy f_A and compensated the CP-invariant term of the QCD Lagrangian. Later in 1978 Weinberg [2] and Wilczek [3] showed that such spontaneous breaking should lead to the appearance of the new neutral pseudoscalar particle - an axion. The initial hypothesis (Weinberg-Wilczek-Peccei-Quinn axion) expected the $U(1)$ symmetry to be broken at the electro-weak scale $f_A = 250$ GeV and yielded certain predictions for the values of axion coupling constants with photons ($g_{A\gamma}$), electrons (g_{Ae}) and nucleons (g_{AN}) and also the axion mass (m_A). The following series of reactor and accelerator experiments disproved this initial hypothesis [4].

New axion models removed the restrictions on the f_A value, allowing it to be extended up to the Planck mass $m_P \approx 10^{19}$ GeV. These models can be separated in two major classes: hadronic (or Kim-Shifman-Vainshtein-Zakharov models) [5, 6] and GUT (or Dine-Fischler-Srednicki-Zhitnitskii models) [7, 8]. In both cases the axion coupling to ordinary particles is suppressed by the energy scale that characterizes the symmetry breaking, i.e., the axion decay constant f_A . The axion mass is given in terms of neutral pion properties:

$$m_A \approx (f_\pi m_\pi / f_A) (\sqrt{z} / (1 + z)), \quad (1)$$

where m_π and f_π are, respectively, the mass and decay constant of the π^0 meson and $z = m_u / m_d$ is u and d quark-mass ratio. Taking those values into account one can present equation (1) as: $m_A(\text{eV}) \approx 6.0 \times 10^6 / f_A(\text{GeV})$. In new models, due to the lack of upper

limit on f_A , the axion interactions with ordinary matter appear to be significantly suppressed, so these axions were labeled as "invisible".

Various laboratory experiments as well as astrophysical and cosmological arguments have been used to constrain the allowed range for f_A or, equivalently, for the axion mass m_A . The most stringent upper limit on the axion mass derived from the astrophysics is $m_A < 0.01$ eV [9, 10], while cosmological arguments yield the lower limit of $m_A > 10^{-5}$ eV [11].

Thus, the majority of the experimental axion searches examine the mass range of 10^{-6} to 10^{-2} eV and relic axions of these masses are considered to be very favorable candidates for cold dark matter particles. It should be noted though, that experimental upper limits on the axion mass are obtained from the restrictions on the axion coupling constants $g_{A\gamma}$, g_{Ae} , and g_{AN} and are strongly model dependent.

Direct laboratory searches for solar axions with CAST and IAXO helioscopes [12, 13] and relic axions with ADMX haloscope [14, 15] rely on the axion-two-photon vertex, allowing for axion-photon conversion in external electric or magnetic fields [4]. Reactions of axioelectric effect in atoms and resonant absorption by nuclei can be induced by the axion-electron and axion-nucleon couplings [16].

One may consider more general axion-like particles (ALPs), where the axion coupling constants and the axion mass are independent. Possible examples of ALPs that have already been studied include light CP-odd Higgs bosons [17, 18]. Similarly, light spin 1 particles called hidden sector photons or light minicharged particles occur in case of embedding the standard model into the string theory. Several experiments have explored this more general region. The current constraints are com-

piled in [19, 20].

In addition, there are suggestions for strong CP problem solution, which allow the existence of axions with quite a large mass (1 MeV), while their interaction with ordinary particles remain at the level of the invisible axions. The models rely on the hypothesis of a world of mirror particles [21] and SUSY at the TeV scale [22]. The existence of these heavy axions is not precluded by the laboratory experiments or astrophysical data.

This article describes the experimental search for 5.5 MeV axions, performed with the use of $\text{Bi}_4\text{Ge}_3\text{O}_{12}$ (BGO) bolometric detectors. Solar axions of the corresponding energy can be produced by $p + d \rightarrow {}^3\text{He} + A$ reaction. Their flux should be proportional to the pp -neutrino flux, which has been estimated with high degree of accuracy in [23]. The incident solar axions are supposed to interact with BGO crystal via the reaction of axioelectric effect $A + e + Z \rightarrow e + Z$. This kind of interaction is governed by axion-electron coupling constant g_{Ae} and its cross section depends on the charge of nucleus as Z^5 . From this point of view the BGO detector is a very suitable target, because of the high Z value of bismuth nucleus ($Z_{\text{Bi}} = 83$).

Recently, the existence of the high energy solar axions and axions from a nuclear reactor have been investigated by the Borexino [24, 25], the CAST [26] and the Texono [27] collaborations. The search for 5.5 MeV axions with BGO scintillating detectors have been performed in [28, 29].

II. AXION PRODUCTION IN NUCLEAR MAGNETIC TRANSITIONS AND THE AXIOELECTRIC EFFECT

The axions of the MeV energy region can be produced by reactions of main solar cycle and CNO chain. The $p + d \rightarrow {}^3\text{He} + \gamma$ reaction is expected to make the dominant contribution to the total axion flux. In this case 5.5 MeV axion is emitted instead of γ -quantum.

The standard solar model implies that 99.7% of the total amount of solar deuterium are produced by the two-proton fusion: $p + p \rightarrow d + e^+ + \nu_e$ and the rest 0.3% are formed as a result of $p + p + e^- \rightarrow d + \nu_e$ reaction. The estimate for solar axion flux can be obtained from the value of the pp -neutrino flux: $6.0 \times 10^{10} \text{cm}^{-2}\text{s}^{-1}$ [23]. The flux ratio between axions and neutrinos depends on the axion-nucleon coupling constant g_{AN} , which includes the isoscalar g_{AN}^0 and isovector g_{AN}^3 components.

In order to produce an axion, the nuclear transition has to be of magnetic type. In case of the $p(d, {}^3\text{He})\gamma$ reaction it corresponds to the proton capture with a zero orbital momentum. The probability of the proton capture from the S state for energies below 80 keV has been measured in [30]. For 1 keV protons the M1-fraction of the total $p(d, {}^3\text{He})\gamma$ cross section is equal to $\chi = 0.55$. Since the proton capture from the S state corresponds to the isovector transition, the axion production probabil-

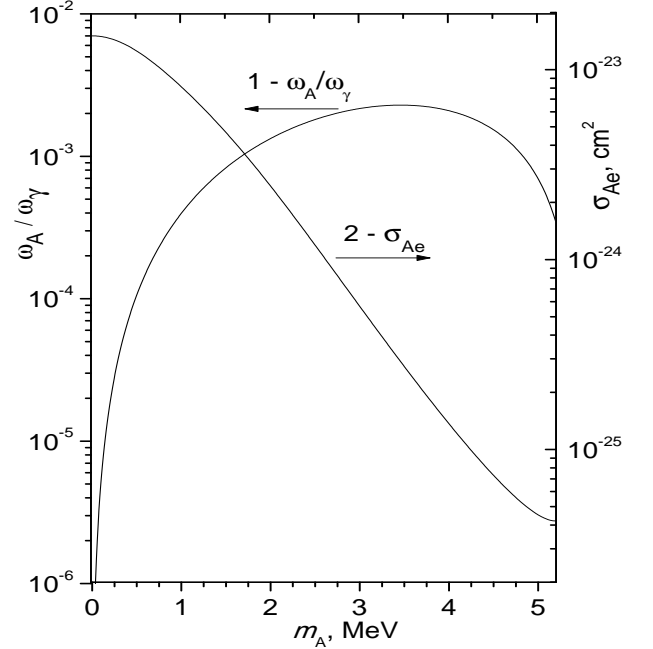


FIG. 1. The ratio (ω_A/ω_γ) in the $p + d \rightarrow {}^3\text{He} + \gamma$ reaction (curve 1, left-hand scale); the cross section σ_{Ae} for 5.5-MeV axions on Bi-atoms for $g_{Ae} = 1$ (curve 2, right-hand scale).

ity ratio (ω_A/ω_γ) will depend only on the axion-nucleon coupling constant g_{AN}^3 [31–35]:

$$\frac{\omega_A}{\omega_\gamma} = \frac{\chi}{2\pi\alpha} \left[\frac{g_{AN}^3}{\mu_3} \right]^2 \left(\frac{p_A}{p_\gamma} \right)^3 = 0.54(g_{AN}^3)^2 \left(\frac{p_A}{p_\gamma} \right)^3. \quad (2)$$

where p_γ and p_A are, respectively, the photon and axion momenta; $\alpha \approx 1/137$ is the fine-structure constant; and $\mu_3 = \mu_p - \mu_n \approx 4.71$ is isovector nuclear magnetic momenta.

In case of hadronic axion model, the coupling constant g_{AN}^3 can be expressed in terms of the axion mass [36, 37]:

$$g_{AN}^3 = -2.75 \times 10^{-8} (m_A/1\text{eV}). \quad (3)$$

In the GUT-axion model g_{AN}^3 constant contains additional unknown parameter $\cos^2\beta$, though its value is still of the same order of magnitude. The ratio is (0.3 – 1.5) in comparison with g_{AN}^3 value for hadronic model [36]. Fig. 1 shows the calculated ω_A/ω_γ ratio value versus the axion mass m_A . At the Earth's surface the axion flux amounts to:

$$\Phi_A = \Phi_{\nu pp} (\omega_A/\omega_\gamma) \quad (4)$$

where $\Phi_{\nu pp} = 6.0 \times 10^{10} \text{cm}^{-2}\text{s}^{-1}$ is the pp -neutrino flux.

In order to detect 5.5 MeV solar axions, we considered the reaction of axioelectric effect $A + Z + e \rightarrow Z + e$,

which is governed by the axion-electron coupling constant g_{Ae} . The cross section of this process depends on the charge of the nucleus as Z^5 , thus making materials with high Z values favorable for use in such experiments. For bismuth atoms, the cross section of axioelectric effect exceeds the one for Compton conversion by almost two orders of magnitude. Taking into account the fact that electron detection efficiency is close to 100% and the background at 5.5 MeV does not overlap with the regions of natural radioactivity, the resulting sensitivity to g_{Ae} and g_{AN} remains sufficient even if the target mass is relatively low.

When the axioelectric effect occurs, the atom emits an electron with energy of $E_e = E_A - E_b$, where E_b is the electron binding energy (similar to photoelectric effect). The cross section for the axioelectric effect was calculated in [38], assuming that $E_A \gg E_b$ and $Z \ll 137$.

$$\sigma_{Ae} = 2(Z\alpha m_e)^5 \frac{g_{Ae}^2 p_e}{m_e^2 p_A} \left[\frac{4E_A(E_A^2 + m_A^2)}{(p_A^2 - p_e^2)^4} - \frac{2E_A}{(p_A^2 - p_e^2)^3} - \frac{64}{3} p_e^2 p_A^2 m_e \frac{m_A^2}{(p_A^2 - p_e^2)^6} - \frac{16m_A^2 p_A^2 E_e}{(p_A^2 - p_e^2)^5} - \frac{E_A}{p_e p_A} \frac{1}{(p_A^2 - p_e^2)^2} \ln \frac{p_e + p_A}{p_e - p_A} \right]. \quad (5)$$

Fig. 1 contains the dependence of cross section on the axion mass, in assumption that $g_{Ae} = 1$. The most significant contribution to the total cross section is made by K-shell electrons. The rest of the electrons was taken into account by introducing a factor 5/4, same as in case of the photoelectric effect.

There are two requirements for the flux of 5.5 MeV axions to be proportional to the pp -neutrino flux at the surface of Earth. The axion lifetime has to exceed the time of flight between Sun and Earth and also axion flux should not be considerably reduced due to absorption by solar matter. From these conditions one can obtain limits for values of axion coupling constants that are available for terrestrial experiments [24, 25, 28, 29, 32].

In order to reach the solar surface from the center of the Sun axions have to pierce through $\approx 6.8 \times 10^{35}$ electrons and $\approx 5 \times 10^{35}$ protons per cm^{-2} of solar matter. The sensitivity of terrestrial experiments to g_{Ae} constant is strictly limited by Compton conversion of axion into photon. The cross section of this reaction for 5.5 MeV axions depends weakly on the axion mass and can be written as: $\sigma_{cc} \approx g_{Ae}^2 4 \times 10^{-25} \text{cm}^2$ [25, 31]. For $|g_{Ae}|$ values below 10^{-6} axion flux remains almost unaffected by absorption.

The $g_{A\gamma}$ limits imposed by axion-photon interaction are obtained from the reaction of axion conversion inside magnetic field of an atomic nucleus. Taking the known proton and ^4He densities into account, one can see that axions will be able to effectively escape the Sun if $|g_{A\gamma}| < 10^{-4} \text{GeV}^{-1}$.

The coupling of axions with atomic nuclei (g_{AN}) leads to reduction of axion flux due to the reaction of photo-dissociation. As it was shown in [32] the dominant contribution for this process is made by the following reaction: $A + ^{17}\text{O} \rightarrow ^{16}\text{O} + n$. Provided the value of nuclear coupling constant is $|g_{AN}^3 - g_{AN}^0| < 10^{-2}$, the resulting axion flux change does not exceed 10%. Since the axion absorption produced by isovector transition $A(^3\text{He}, d)p$ is negligible, the range of available $|g_{AN}^3|$ values remains arbitrary.

For the masses on an axion exceeding $2m_e$, the primary decay mode should be the production of an electron-positron pair. Assuming that 90% of the total amount of produced solar axions reach the Earth, we can set the sensitivity upper limit for the electron coupling constant as $|g_{Ae}| < (10^{-12} - 10^{-11})$ [28]. In case of the axion masses below $2m_e$, $e^+ + e^-$ option is no longer available, but decay into two γ -quanta is still valid. The value of decay probability is determined by the axion-photon coupling constant $g_{A\gamma}$ and the axion mass m_A : $\tau_{A \rightarrow \gamma\gamma} = 64\pi/g_{A\gamma}^2 m_A^3$. Current experimental constraints ($g_{A\gamma} < 10^{-9} \text{GeV}^{-1}$) yield $\tau_{cm} = 10^5$ in case of 1 MeV axions. It suggests that axion flux remains practically unaffected by $A \rightarrow 2\gamma$ decays, even for 5 MeV axions.

III. EXPERIMENTAL SETUP

The detector used for this study is an array of $\text{Bi}_4\text{Ge}_3\text{O}_{12}$ (BGO) scintillating bolometers [40], containing 1.65 kg of Bi. Four cubic ($5 \times 5 \times 5 \text{cm}^3$) BGO crystals, with all optical faces were arranged in a four-plex module, one single plane set-up. The scintillation light produced by particle interaction in the BGO absorbers was monitored with an auxiliary bolometer made of high-purity germanium, operated as a light detector (LD) [41].

The detector was installed in the $^3\text{He}/^4\text{He}$ dilution refrigerator in the Hall C of the underground laboratory of L.N.G.S. ($\approx 3650 \text{m w.e.}$) and operated at a temperature of few mK. The four crystals and the LD were housed in a highly pure copper structure, the same described in [42]. Given the large light yield (LY) of BGO crystals [43] a single wide area LD was faced to the entire array and no reflecting foil was used. This type of assembly did not prevent us from exploiting the powerful particle discrimination capability of this scintillating bolometer. The four BGO crystals were analyzed to search for the 5.5 MeV axions.

Coupled to each bolometer there is a Neutron Transmutation Doped (NTD) germanium thermistor that acts as a thermometer: recording the temperature rises produced by particle interaction in the absorbers and producing voltage pulses proportional to the energy deposition. These pulses then are amplified and fed into an 18-bit analog-to-digital converter. Software triggers ensure that every thermistor pulse is recorded. Details on our electronics and on the cryogenic set-up can be found elsewhere [44, 45].

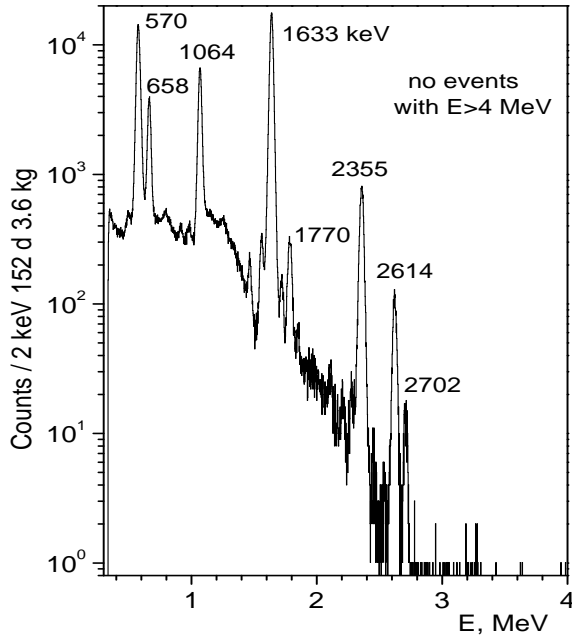


FIG. 2. The energy spectrum of the four BGO detectors (β - and γ - events) measured for a 151.7 days. The most prominent gamma lines are produced by ^{207}Bi decay.

The amplitude and the shape of the pulses is then determined by the off-line analysis. To maximize the signal-to-noise ratio, the pulse amplitude is estimated by means of the Optimum Filter (OF) technique [46, 47].

The heat and light channels were energy-calibrated by means of gamma (^{40}K and ^{232}Th) and X-ray (^{55}Fe) sources, respectively. The relation between pulse amplitude and energy was parameterized with a first order polynomial fit.

IV. RESULTS

The detector was operated for a total live time of 151.7 days. During the measurement, various calibration runs were performed in order to monitor the stability of the detector. By means of the different LY of interacting particles we were able to discriminate α -events from β/γ one. This allowed us to strongly increase our sensitivity, because we were able to reject all α -events in the region of interest. The total acquired background statistics in the range of (0.3-4) MeV for β/γ events are shown in Fig. 2.

In the energy spectrum it is possible to identify the most intense internal β/γ background sources, which are mainly ascribed to ^{207}Bi , produced by proton-induced

reactions on ^{206}Pb [48] and ^{208}Bi . In first approximation, the energy resolution of large mass bolometric detector is independent of the energy. Our FWHM energy resolution is 33.7 ± 0.6 keV at 2614 keV (^{208}Tl) and 33.2 ± 0.1 keV at 570 keV (^{207}Bi).

The limits on the 5.5 MeV axion flux and cross-section are based on the experimental fact that no events above 4 MeV were observed. The upper limit on the number of axioelectric effects is $S_{lim} = 2.44$ with 90% c.l. in accordance with the Feldman-Cousins procedure [49].

The expected number of axioelectric absorption events are:

$$S_{abs} = \varepsilon N_{Bi} T \Phi_A \sigma_{Ae} \quad (6)$$

where σ_{Ae} is the axioelectric effect cross section, given by expression (5); Φ_A is the axion flux (4); $N_{Bi} = 6.87 \times 10^{24}$ is the number of Bi atoms; $T = 1.31 \times 10^7$ s is the measurement time; and $\varepsilon = 0.59$ is the detection efficiency for 5.5 MeV electrons. The axion flux Φ_A is proportional to the constant $(g_{AN}^3)^2$, and the cross section σ_{Ae} is proportional to the constant g_{Ae}^2 , according to expressions (4) and (5). As a result, the S_{abs} value depends on the product of the axion-electron and axion-nucleon coupling constants: $(g_{Ae})^2 \times (g_{AN}^3)^2$. The experimentally found condition $S_{abs} \leq S_{lim}$ imposes some constraints on the range of possible $|g_{Ae} \times g_{AN}^3|$ and m_A values. The range of excluded $|g_{Ae} \times g_{AN}^3|$ values is shown in Fig. 3, at $m_A \rightarrow 0$ the limit is

$$|g_{Ae} \times g_{AN}^3| \leq 1.9 \times 10^{-10} \quad \text{at 90\% c.l.} \quad (7)$$

The dependence of $|g_{Ae} \times g_{AN}^3|$ on m_A is related only to the kinematic factor in formulae (2) and (5). These constraints are completely model-independent and valid for any pseudoscalar particle with coupling $|g_{Ae}|$ less than $10^{-6(4)}$.

Within the hadronic axion model, g_{AN}^3 and m_A quantities are related by expression (3), which can be used to obtain a constraint on the g_{Ae} constant, depending on the axion mass (Fig. 3). For $m_A = 1$ MeV, this constraint corresponds to $|g_{Ae}| \leq 9.6 \times 10^{-9}$ at 90% c.l. (see Fig. 3).

These limits are more than one order of magnitude stronger than ones obtained with the 2.5 kg BGO scintillation detector [29]. Figure 3 also shows the constraints on the constant $|g_{Ae}|$ that were obtained in the Borexino experiment for 478 keV ^7Li solar axions [24] and in the Texono reactor experiment for 2.2 MeV axions produced in the $n+p \rightarrow d+A$ reaction [27]. Recently, Borexino coll. reported new more stringent limits on g_{Ae} coupling for 5.5 MeV solar axions [25]. Unlike our work, these limits on g_{Ae} were obtained in assumption that the axion interacts with electron through the Compton conversion process. The cross section of axioelectric effect has a Z^5 dependence (5) and for carbon atoms (the main component of liquid scintillator) the cross section is 5×10^5 times lower than for bismuth ones.

In the model of the mirror axion [21] an allowed parameter window is found within the P-Q scale $f_A \sim 10^4 - 10^5$

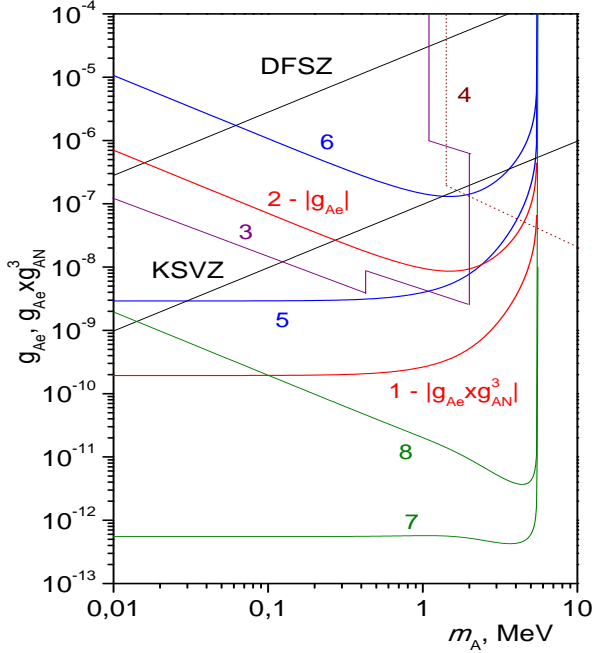


FIG. 3. The limits on the g_{Ae} coupling constant obtained by 1, 2 - present work for $|g_{Ae}|$ and $|g_{Ae} \times g_{AN}^3|$, correspondingly; 3- solar [24] and reactor experiments [27, 50], 4- beam dump experiments [51, 52]; 5, 6 - limits on $|g_{Ae}|$ and $|g_{Ae} \times g_{AN}^3|$ [29]; 7, 8 - Borexino results for $|g_{Ae}|$ and $|g_{Ae} \times g_{AN}^3|$ [25]. The relations between g_{Ae} and m_A for DFSZ- and KSVZ-models are also shown.

GeV and the axion mass $m_A \sim 1$ MeV. The limit (7) on the product $|g_{AN}^3 \times g_{Ae}| \leq 1.9 \times 10^{-10}$ may be represented as a limit on the value f_A by taking the following

relations into account: $g_{AN}^3 = 0.5(g_{Ap} - g_{An}) = 1.1/f_A$ and $g_{Ae} = 5 \times 10^{-4}/f_A$. For axion masses about 1 MeV discussed in [21], the limit is $f_A > 1.7 \times 10^3$ GeV, which is close to the lower bound of mirror axion window.

Our results set constraints on the parameter space of the CP-odd Higgs (A^0), which arise in the next-to-minimal supersymmetric Standard Model due to the spontaneous breaking of approximate symmetries such as PQ-symmetry, and is motivated by the string theory [17, 26]. The corresponding exclusion region can be obtained from Fig.3 using the conversion $C_{Aff} = g_{Ae} 2m_W / g_2 m_e$ where C_{Aff} is the coupling of the CP-odd Higgs to fermions and $g_2 = 0.62$ is the gauge coupling. Taking the relation (3) into account, the limit ((7)) translates into $C_{Aff} \times m_{A^0} \leq 3 \times 10^{-3}$ MeV for $m_{A^0} < 1$ MeV, which is compatible with the limits obtained in reactor experiments exploring Compton conversion.

V. CONCLUSIONS

A search for the axioelectric absorption of 5.5 MeV axions produced in the $p + d \rightarrow {}^3\text{He} + \gamma$ reaction was performed using four BGO bolometric detectors with a total mass of 3.56 kg, located in a low-background setup equipped with passive and active shielding. As a result, a model-independent limit on axion-nucleon and axion-electron coupling constant has been obtained: $|g_{Ae} \times g_{AN}^3| < 1.9 \times 10^{-10}$ (90% c.l.). Within the hadronic axion model the constraints on the axion-electron coupling constant $|g_{Ae}| \leq (9.6 - 82) \times 10^{-9}$ for axions with masses $0.1 < m_A < 1$ MeV were obtained for 90% c.l.. The obtained constraints are compared with the parameter space of the mirror axions and light CP-odd Higgs models.

VI. ACKNOWLEDGMENTS

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